



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, DC 20231
www.uspto.gov

17
DW

JAN 14 2003

MAILED

FENWICK & WEST LLP
TWO PALO ALTO SQUARE
PALO ALTO, CA 94306

JAN 14 2003

Office of the Director
Group 3600

In re application of
Richard W. Friesen
Application No. 09/289,550
Filed: April 9, 1999
For: USER INTERFACE FOR ELECTRONIC:
TRADING SYSTEM

DECISION ON REQUEST
FOR WITHDRAWAL OF
ATTORNEY

This is a decision on the request filed on October 17, 2002, under 37 CFR 1.36 and MPEP 402.06, requesting permission to withdraw as the attorney of record in the above-identified application.

The request is **NOT APPROVED**.

Under 37 CFR 1.36 an attorney may withdraw only upon application to and approval by the Commissioner. It should be noted that a withdrawal is effective when approved, not when filed. Besides giving due notice to his or her client and delivering to the client all papers and property to which the client is entitled as specified under 37 CFR 10.40, approval of such a request requires that the following conditions be met:

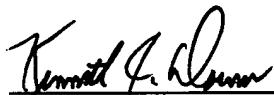
- A) Each attorney of record must sign the notice of withdrawal, or the notice must contain a clear indication of one attorney signing on behalf of another, because the Office does not recognize law firms;
- B) A proper reason for the withdrawal as enumerated in 37 CFR 10.40(b) or subsection (1)-(6) of 37 CFR 10.40(c) must be provided; and
- C) If withdrawal is requested in accordance with 37 CFR 10.40(c) above, there must be at least 30 days between approval of the withdrawal and the later of the expiration date of a time period for reply or the expiration date of the period which can be obtained by a petition and fee for extension of time under 37 CFR 1.136(a).

The request to withdraw as attorney is not accepted in the above-identified application because the request lacks conditions A), B) and C) above.

As to condition A), the request to withdraw as attorney is not signed by an attorney of record. Only Dana Rao and Robert R. Sachs have been given power in the original declaration/power of attorney.

As to condition B), the reason for the withdrawal is insufficient. Power of attorney was given by the inventor. They are therefore the client until documentation in the form of assignment papers or statement under 37 CFR 3.73(b) is provided.

As to condition C), less than 30 days remain in which to respond to the Office action mailed July 26, 2002.



Kenneth J. Dorner
Kenneth J. Dorner
Special Programs Examiner
Patent Technology Center 3600
(703) 308-0866
Facsimile No.: (703) 605-0586

KD/dw: 01/10/03